



## **COSMO FERRITES LIMITED**

(Govt. of India Recognised Export House)

CIN : L27106HP1985PLC006378

H.O.: 517, 5th Floor, DLF Tower-A, Jasola District Centre, New Delhi-110025 (India)

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E-mail : sales@cosmoferrites.com Website : www.cosmoferrites.com

**CFR/SE/2020-21/JUL/01**

**July 7, 2020**

The Manager (Listing)  
Bombay Stock Exchange limited  
PhirozeJeejeebhoy Towers,  
Dalal Street,  
Mumbai-400 001

Scrip Code: 523100

Security ID: COSMOFE

**Sub: Annual Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March 2020**

Dear Sir,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019; please find enclosed herewith the Annual Secretarial Compliance Report dated 23<sup>rd</sup> June, 2020, issued by M/s DMK Associates for the year ended March 31, 2020.

You are requested to take the same on your records.

Thanking You

Yours faithfully

For **Cosmo Ferrites Limited**

**Sd/-**

Aditya Sharma

Company Secretary & Compliance Officer

### SECRETARIAL COMPLIANCE REPORT OF COSMO FERRITES LIMITED

FOR THE YEAR ENDED 31 MARCH, 2020

We have examined

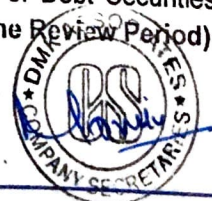
- (a) all the documents and records made available to us and explanation provided by **Cosmo Ferrites Limited** (Listed Entity, hereinafter called the Company) having its registered office P.O. Jabli Distt Solan, Jabli, Himachal Pradesh -173209.
- (b) the filings/ submissions made by the Company to the stock exchanges,
- (c) website of the Company,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31 March, 2020 (Review period) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the Company during the Review Period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the Company during the Review Period)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable to the Company during the Review Period)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the Company during the Review Period)



- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not applicable to the Company during the Review Period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018
- (j) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; **(Not applicable to the Company during the Review Period)**
- (k) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client; **(Not applicable as the Company is not registered as Registrar to an issue and Share Transfer Agent during the Review Period)**

and circulars/ guidelines issued thereunder and based on the above examination, We hereby report that, during the Review Period:

- a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, *except in respect of appointment of Chief Financial Officer of the Company. The appointment was required to be approved by the Audit Committee under Regulation 18(3) of SEBI (LODR) Regulations, 2015 and in this regard we have observed that the Board of Directors directly appointed the CFO. Subsequently, the same has been ratified by the Audit Committee.*
- b) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- c) No actions has been taken against the Company/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:
- d) The Company was not required to take any actions as no observations were made in the previous reports:



- e) Since the Auditors have already been appointed, the terms of appointment of Statutory Auditors have been suitably modified to give effect to 6(A) and 6(B) of SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.

Place: New Delhi  
Date: 23.06.2020

For DMK Associates  
Company Secretaries

*Monika*



CS MONIKA KOHLI

Partner

FCS No.: 5480

C P No.: 4936

UDIN: F005480B000387378